

Disclosure of shareholding pattern

Name of the Company : SWORD & SHIELD PHARMA LIMITED				
Scrip Code, class of security : 531637, Equity shares				
Quarter ended : 30-9-2015				
Disclosure of notes on shareholding pattern				Notes
Partly paid-up shares				
ID	Description partly paid-up shares	Number of partly paid-up shares	As a percentage of total number of partly paid-up shares	As a percentage of total number of shares of the company
Shares held by promoter or promoter group				
Total Shares held by promoter or promoter group				
Shares held by public				
1	Partly Paid-up Shares	977366	100	18.98
Total Shares held by public		977366	100	18.98
Total		977366	100	18.98
Outstanding convertible securities				
ID	Description of outstanding convertible securities	Number of outstanding securities	As a percentage of total number of outstanding convertible securities	As a percentage of total number of shares of the company, assuming full conversion of the convertible securities
Shares held by promoter or promoter group				
Total shares held by promoter or promoter group				
Shares held by public				
Total Shares held by public				
Total				
Warrants				
ID	Description of warrants	Number of warrants	As a percentage of total number of warrants	As a percentage of total number of shares of the company, assuming full conversion of warrants
Shares held by promoter or promoter group				
Total Shares held by promoter or promoter group				
Shares held by public				
Total Shares held by public				
Total				
Total paid-up capital of the company assuming full conversion of warrants and convertible securities		5150600		



Disclosure of fully paid-up shares

Name of the Company : SWORD & SHIELD PHARMA LIMITED								
Scrip Code, class of security : 531637, Equity shares								
Quarter ended : 30-9-2015								
Disclosure of notes on fully paid-up shares							Notes	
Category code				Total Shareholding as a percentage of total number of shares			Shares pledged or otherwise encumbered	
		Number of shareholders	Total number of shares	Number of shares held in dematerialised form	As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX) = (VIII)/(IV)*100
(A) Shareholding of Promoter and Promoter Group								
(1) Indian								
(a)	Individuals or Hindu undivided family							
(b)	Central government or State government(s)							
(c)	Bodies corporate							
(d)	Financial institutions or banks							
(e)	Any other (specify)	Description of Shareholder						
1	Indian shareholders	Directors and their friends or relatives	2	340000	217400	6.6	6.6	
Total Others			2	340000	217400	6.6	6.6	
Sub Total (A) (1)			2	340000	217400	6.6	6.6	
(2) Foreign								
(a)	Non-resident individuals or foreign individuals							
(b)	Bodies corporate							
(c)	Institutions							
(d)	Qualified foreign investor							
(e)	Any other (specify)	Description of Shareholder						
Total Others								
Sub-Total (A) (2)								
Total Shareholding of Promoter and Promoter Group (A) = (A) (1)+(A)(2)			2	340000	217400	6.6	6.6	
(B) Public Shareholding								
(1) Institutions								
(a)	Mutual funds or UTI							
(b)	Financial institutions or banks	1	7400	7400	0.14	0.14		
(c)	Central government or State government(s)							
(d)	Venture capital funds							



(e)	Insurance companies								
(f)	Foreign institutional investors								
(g)	Foreign venture capital investors								
(h)	Qualified foreign investor								
(k)	Any other (specify)	Description of Shareholder							
Total Others									
Sub Total (B) (1)			1	7400	7400	0.14	0.14		
(2)	Non-Institutions								
(a)	Bodies corporate		38	479151	479151	9.3	9.3		
(b)	Individuals								
i	Individual shareholders holding nominal share capital up to Rs one lakh		1230	1786562	1057362	34.69	34.69		
ii	Individual shareholders holding nominal share capital in excess of Rs one lakh		52	2479548	1191548	48.14	48.14		
(c)	Qualified foreign investor								
(d)	Any other (specify)	Description of Shareholder							
1	Non-Institutions Shareholders 1	NRI	1	10000	10000	0.19	0.19		
2	Non-Institutions Shareholders 2	HUF	20 *	47939	47939	0.93	0.93		
Total Others			21	57939	57939	1.12	1.12		
Sub-total (B) (2)			1341	4803200	2786000	93.26	93.26		
Total Public Shareholding (B) = (B)(1)+(B)(2)			1342	4810600	2793400	93.4	93.4		
TOTAL (A)+(B)			1344	5150600	3010800	100	100		
(C)	Shares held by custodians and against which depository receipts have been issued								
(1)	Promoter and promoter group								
(2)	Public								
Total Shares held by Custodians (C)									
GRAND TOTAL (A)+(B)+(C)			1344	5150600	3010800		100		



(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Promoter and Promoter Group

Name of the Company : SWORD & SHIELD PHARMA LIMITED												
Scrip Code, class of security : 531637, Equity shares												
Quarter ended : 30-9-2015												
Disclosure of notes on securities belonging to the category "Promoter and Promoter Group"												Notes
Sr. No.	Name of the shareholder	PAN of Promoter	Number of the shares held	As a percentage of grand total (A) + (B) + (C)	Encumbered - number of shares	As a percentage	As a percentage of grand total (A) + (B) + (C) of clause (I)(a)	Number of warrants held	Warrants held as a percentage of total numbers of warrants of the same class	Number of convertible securities held	Convertible securities as percentage of total numbers of convertible securities of same class	Total shares (including convertible shares assuming full conversion of warrant and convertible securities as a percentage of dilute share capital)
(I)	(II)	(II) (a)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(I)(a) (VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	PRAVINBHAI MANEKLAL PATEL	ADR992767P	229400	4.45								4.45
2	JYOTSNABEN PRAVINBHAI PATEL	ADRPP2766N	110600	2.15								2.15
Total			340000	6.6								



(I) (c) (i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category Public and holding more than 1% of the total number of shares

Name of the Company : SWORD & SHIELD PHARMA LIMITED									
Scrip Code, class of security : 531637, Equity shares									
Quarter ended : 30-9-2015									
Disclosure of notes on holding of securities of persons belonging to the category "Public" and holding more than one percentage of the total number of shares									Notes
Sr. No.	Name of the shareholder	PAN of Shareholder	Number of the shares held	Shares as percentage of total number of shares [i.e. Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above]	Number of warrants held	Warrants held as a percentage of total numbers of warrants of the same class	Number of convertible securities held	Convertible securities as percentage of total numbers of convertible securities of same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital
(I)	(II)	(II) (a)	(III)	(IV)	(V)	(VI)	(I)(a) (VII)	(VIII)	(IX)
1	KAMLESH JAIN		270007	5.24					5.24
2	PROBI FINCAP PVT LTD		200000	3.88					3.88
3	RAMESHBHAI PATEL		198000	3.84					3.84
4	KANUBHAI PATEL		198000	3.84					3.84
5	ATMARAMBHAI PATEL		198000	3.84					3.84
6	JAYANTILAL PATEL		198000	3.84					3.84
7	RAMESHBHAI PATEL		196000	3.81					3.81
8	AKHANI JAGDISHKUMAR AMRUTLAL		149300	2.9					2.9
9	HIM INCAP PVT LTD		115800	2.25					2.25
10	BHANUPRASAD PATEL		89000	1.73					1.73
11	KALPESH J. THAKKAR		60088	1.17					1.17
12	UMAKANT SULTANIA		57055	1.11					1.11
13	JYOTI JAIN		52969	1.03					1.03
	Total		1982219	38.49					38.49



(I) (c) (ii) Statement showing holding of securities (including shares,warrants,convertible securities) of persons (together with PAC) belonging to the category Public and holding more than 5% of the total number of shares of the company

Name of the Company : SWORD & SHIELD PHARMA LIMITED									
Scrip Code, class of security : 531637, Equity shares									
Quarter ended : 30-9-2015									
Disclosure of notes on holding of securities of persons (together with PAC) belonging to the category "Public" and holding more than five percentage of the total number of shares of the company									Notes
Sr. No.									
	Name of the shareholder	PAN of Shareholder	Number of the shares held	Shares as percentage of total number of shares [i.e. Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above]	Number of warrants held	Warrants held as a percentage of total numbers of warrants of the same class	Number of convertible securities held	Convertible securities as percentage of total numbers of convertible securities of same class	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a percentage of diluted share capital
(I)	(II)	(II) (a)	(III)	(IV)	(V)	(VI)	(I)(a) (VII)	(VIII)	(IX)
1	KAMLESH JAIN		270007	5.24					5.24
Total			270007	5.24					5.24

